



ASSET MANAGEMENT GROUP

Date: \_\_\_/\_\_\_/\_\_\_\_\_

Primary				
Name/Identification			Home Address	
First Name	Middle Initial	Last Name	Street	
Social Security Number		Date of Birth	City	State Zip
Phone & Email			Mailing Address	
Home Phone		Mobile / Work Phone	Street	
Email Address			City	State Zip
Retired	Employer		Occupation	
<input type="radio"/> Yes <input type="radio"/> No	Employer Name (former if retired)		Occupation (former if retired)	

Secondary				
Name/Identification			Home Address	
First Name	Middle Initial	Last Name	Street	
Social Security Number		Date of Birth	City	State Zip
Phone & Email			Mailing Address	
Home Phone		Mobile / Work Phone		
Email Address				
Retired	Employer		Occupation	
<input type="radio"/> Yes <input type="radio"/> No	Employer Name (former if retired)		Occupation (former if retired)	

Trusted Contact				
Contact Information			Mailing Address	
Name		Phone	Street	
Email Address			City	State Zip

Beneficiary Information (List additional beneficiaries on separate sheet of paper)				
Name (First, Last)	Date of Birth	Relationship	Primary (P) or Contingent (C)	%

## Investment Objective

### Registration (IRA, Roth IRA, Trust, Individual, etc.):

Select the investment objective that most accurately reflects the goals for this account (choose only one)

The investment objectives are overall objectives for the entire account and may be inconsistent with a particular holding at any time. Please note that achievement of the stated investment objectives is a long-term goal for the account.

- A. Income with Capital Preservation.** Designed as a longer-term accumulations account, this is the most conservative investment objective. Emphasis is placed on generation of current income and prevention of capital loss.
- B. Income with Moderate Growth.** Emphasis is placed on generation of income with a secondary focus on moderate capital.
- C. Growth with Income.** Emphasis is placed on modest capital growth with some focus on generation of current income
- D. Growth.** Emphasis is placed on achieving high long-term growth and capital appreciation. There is little focus on generation of current income.
- E. Aggressive Growth.** Emphasis is placed on aggressive growth and maximum capital appreciation. No focus on generation of current income. This objective has a very high level of risk and is for investors with a longer time horizon.

## Financial Information and Experience

### What is your total annual income?

- A. Less than \$25,000
- B. Between \$25,000 and \$49,999
- C. Between \$50,000 and \$99,999
- D. Between \$100,000 and \$249,999
- E. Between \$250,000 and \$499,999
- F. Between \$500,000 and \$749,999
- G. Between \$750,000 and \$999,999
- H. \$1,000,000 and over

### What is your net worth (exclusive of primary residence)?

- A. Less than \$25,000
- B. Between \$25,000 and \$49,999
- C. Between \$50,000 and \$99,999
- D. Between \$100,000 and \$249,999
- E. Between \$250,000 and \$499,999
- F. Between \$500,000 and \$749,999
- G. Between \$750,000 and \$999,999
- H. \$1,000,000 and over

### What is your liquid net worth (typically net worth minus real estate investments)?

- A. Less than \$25,000
- B. Between \$25,000 and \$49,999
- C. Between \$50,000 and \$99,999
- D. Between \$100,000 and \$249,999
- E. Between \$250,000 and \$499,999
- F. Between \$500,000 and \$749,999
- G. Between \$750,000 and \$999,999
- H. \$1,000,000 and over

### Approximate Account Value:

- A. Less than \$25,000
- B. Between \$25,000 and \$49,999
- C. Between \$50,000 and \$99,999
- D. Between \$100,000 and \$249,999
- E. Between \$250,000 and \$499,999
- F. Between \$500,000 and \$749,999
- G. Between \$750,000 and \$999,999
- H. \$1,000,000 and over

### What is your Federal Tax Bracket?

- 10
- 12
- 22
- 24
- 32
- 35
- 37

**Source of Wealth and Income** (Inheritance, employment income, sale of real estate, etc...)

### Investment Experience (number of years)

\_\_\_\_\_ None      \_\_\_\_\_ Stocks      \_\_\_\_\_ Bonds      \_\_\_\_\_ Mutual Funds  
\_\_\_\_\_ Annuities      \_\_\_\_\_ Margin      \_\_\_\_\_ Options

### Please indicate percentage of assets exclusive of primary home (must equal 100%)

Checking/Savings \_\_\_\_\_%      Annuities \_\_\_\_\_%      Alternative Investments \_\_\_\_\_%  
Mutual Funds \_\_\_\_\_%      Insurance \_\_\_\_\_%      Real Estate \_\_\_\_\_%  
Stocks \_\_\_\_\_%

### Investment Time Horizon and Liquidity Needs

What is your investment time horizon for this account?

- A. 1-3 years
- B. 3-5 years
- C. 5-10 years
- D. More than 10 years

Do you have liquidity needs for these funds?     Yes     No

If yes, when do you need these funds?     0-3 years     More than 3 years